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PTO/SB/01 (6-95)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<small>0010/PTO Rev. 6/95</small> DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input checked="checked" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing	<small>U.S. Department of Commerce Patent and Trademark Office</small>	Attorney Docket Number First Named Inventor COMPLETE IF KNOWN Application Number Filing Date Group Art Unit Examiner Name	H 5098 PCT/US Naumann, Frank																								
<p>As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <div style="border: 1px solid black; padding: 5px; text-align: center;">INDOLE/INDOLINE BASED HYBRID DYES AND INDOLE/INDOLINE BASED HYBRID DYE INTERMEDIATE PRODUCTS</div> <p style="text-align: center;"><i>(Title of the Invention)</i></p> <p>the specification of which <input type="checkbox"/> is attached hereto OR <input checked="checked" type="checkbox"/> was filed on (MM/DD/YYYY) 01/03/2002 as United States Application Number or PCT International Application Number PCT/EP02/00013 and was amended on (MM/DD/YYYY) (if applicable).</p> <p>I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.</p> <p>I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.</p> <p>I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.</p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 25%;">Prior Foreign Application Number(s)</th><th style="width: 25%;">Country</th><th style="width: 20%;">Foreign Filing Date (MM/DD/YYYY)</th><th style="width: 10%;">Priority Not Claimed</th><th colspan="2" style="width: 20%;">Certified Copy Attached?</th></tr><tr><th></th><th></th><th></th><th></th><th>YES</th><th>NO</th></tr></thead><tbody><tr><td>101 00 938.0</td><td>Germany</td><td>01/10/2001</td><td style="text-align: center;"><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></td><td style="text-align: center;"><input checked="checked" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></td></tr></tbody></table> <p><input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:</p> <p>I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.</p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 30%;">Application Number(s)</th><th style="width: 30%;">Filing Date (MM/DD/YYYY)</th><th style="width: 40%;">Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.</th></tr></thead><tbody><tr><td style="height: 40px;"></td><td></td><td style="text-align: center;"><input type="checkbox"/></td></tr></tbody></table>				Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?						YES	NO	101 00 938.0	Germany	01/10/2001	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="checked" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.			<input type="checkbox"/>
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DECLARATION**Page 2**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP02/00013	01/03/2002	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<input type="checkbox"/> Firm Name	<input type="text"/>	Customer Number	or label	<input type="text"/>
OR				

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
Stephen D. Harper	33,243		
Glenn E. J. Murphy	33,539		
Steven C. Bauman	33,832		
Gregory M. Hill	31,369		
Mary K. Cameron	34,789		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:	<input checked="" type="checkbox"/> Customer Number	or label	00423	OR	<input type="checkbox"/> Fill in correspondence address below
--------------------------------------	---	----------	--------------	----	---

Name	Gregory M. Hill				
Address	Henkel Corporation				
Address	2500 Renaissance Blvd, Suite 200				
City	Gulph Mills	State	PA	Zip	19406
Country	USA	Telephone	-610-278-4964	Fax	610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Frank	Middle Initial		Family Name	Naumann
Inventor's Signature	<i>Frank Naumann</i>			Date	3/6/3
Residence: City	Duesseldorf	State		Country	Germany
Post Office Address	Wupperstrasse 3				
Post Office Address					
City	40219 Duesseldorf	State		Country	Germany
Applicant Authority					

☒ Additional inventors are being named on supplemental sheet(s) attached hereto
(+) inside this box → ☐

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Page 2

DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Detlef	Middle Initial		Family Name	Hollenberg	Suffix e.g. Jr.	
Inventor's Signature	<i>Detlef Hollenberg</i>				Date	22.5.03	
Residence: City	Erkrath	State		Country	Germany	Citizenship	Germany
Post Office Address	Fliederweg 31						
Post Office Address							
City	40699 Erkrath	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Horst	Middle Initial		Family Name	Hoeffkes	Suffix e.g. Jr.	
Inventor's Signature	<i>Horst Hoeffkes</i>				Date	22.5.03	
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Carlo-Schmid-Str. 113						
Post Office Address							
City	40595 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	David	Middle Initial		Family Name	Rose	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City	Hilden	State		Country	Germany	Citizenship	Great Britain
Post Office Address	Am Eichelkamp 223						
Post Office Address							
City	40723 Hilden	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
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---	---

As a below named inventor, I hereby declare that:
My residence, post office address, and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**INDOLE/INDOLINE BASED HYBRID DYES AND INDOLE/INDOLINE BASED HYBRID DYE
INTERMEDIATE PRODUCTS**

(Title of the Invention)

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) 01/03/2002 as United States Application Number or PCT International Application Number PCT/EP02/00013 and was amended on (MM/DD/YYYY) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed		YES	NO
101 00 938.0	Germany	01/10/2001	<input type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	
			<input type="checkbox"/>		<input type="checkbox"/>	
			<input type="checkbox"/>		<input type="checkbox"/>	
			<input type="checkbox"/>		<input type="checkbox"/>	
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H 5098 PCT/US

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Please direct all correspondence to: ☒ Customer Number or label **00423** OR ☐ Fill in correspondence address below

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Address	2500 Renaissance Blvd, Suite 200						
City	Gulph Mills	State	PA	Zip	19406		
Country	USA	Telephone	-610-278-4964	Fax	610-278-6548		

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Given Name	Frank	Middle Initial		Family Name	Naumann	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Wupperstrasse 3						
Post Office Address							
City	40219 Duesseldorf	State		Zip		Country	Germany
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Given Name	Detlef			Middle Initial			Family Name	Hollenberg			Suffix e.g. Jr.			
Inventor's Signature							Date							
Residence: City		Erkrath			State		Country		Germany		Citizenship		Germany	
Post Office Address		Fliederweg 31												
Post Office Address														
City	40699 Erkrath			State		Zip		Country		Germany		Applicant Authority		
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name	Horst			Middle Initial			Family Name	Hoeffkes			Suffix e.g. Jr.			
Inventor's Signature							Date							
Residence: City		Duesseldorf			State		Country		Germany		Citizenship		Germany	
Post Office Address		Carlo-Schmid-Str. 113												
Post Office Address														
City	40595 Duesseldorf			State		Zip		Country		Germany		Applicant Authority		
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name	David			Middle Initial			Family Name	Rose			Suffix e.g. Jr.			
Inventor's Signature	<i>David Rose</i>						Date	<i>2/5/03</i>						
Residence: City		Hilden			State		Country		Germany		Citizenship		Great Britain	
Post Office Address		Am Eichelkamp 223												
Post Office Address														
City	40723 Hilden			State		Zip		Country		Germany		Applicant Authority		
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Inventor's Signature							Date							
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